



Sarique Ansari & Co.

Chartered Accountants

Address: Near Abbasia Salafi School, Ashok Nagar, Nai Bazar, Bhadohi-221409 (U.P.) INDIA

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CLIENT LEVEL SEGREGATION CERTIFICATE (FY 2022-23)

This is to certify that **AM INVESTMENT ADVISORS AND ASSOCIATES** having registered office at **D-33, Ground Floor, Sector 2, Noida, Gautam Buddha Nagar, Uttar Pradesh - 201301, INDIA** has client level segregation of advisory and distribution activities.

We have examined in respect to Regulation 22 that the same client can either be an advisory client where no distributor consideration is received at the group level or distribution services client where no advisory fee is collected from the client at the group level.

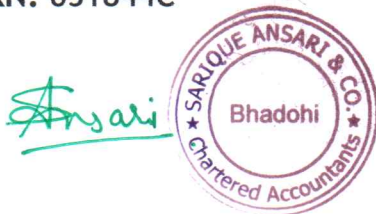
In case of existing clients, clients who wish to take advisory services have not taken distribution services within the group/family of IA, and vice-versa as the case may be. The existing clients have given discretion to continue holding assets prior to the applicability of this segregation under the existing advisory/distribution arrangement. Hence the client has not been forced to liquidate/switch such existing holdings.

In case of new clients, the option has been provided to such client whether to avail advisory or distribution services from IA at the time of on boarding such client.

For **SARIQUE ANSARI & CO.**
Chartered Accountants
FRN: 031844C

Date: 25/09/2023

Place: Bhadohi



CA Sarique Ansari
(Proprietor)
MRN: 456140
UDIN: 23456140BGXMKK5784